



Department of Defense **DIRECTIVE**

December 21, 1993
NUMBER 1332,14

ASD(~~P&R~~)

SUBJECT : Enlisted Administrative Separations

- References:
- (a) DoD Directive 1332.14, subject as above, **January 28, 1982** (hereby canceled)
 - (b) Section 977 of title 10, United States Code (Denial of Certain Benefits to **Persons** Who Fail to Complete at Least Two Years of an Original Enlistment)
 - (c) Pub. L. No. 97-66, "The Veterans' Disability Compensation, Housing, and Memorial Benefits Amendments Act of 1981", October 17, 1981, (95 Stat. 1035)
 - (d) Sections 801-940 of title 10, United States Code (Uniform Code of Military Justice, Articles 1-140)
 - (e) through (y) , see enclosure 1

A. REISSUANCE AND PURPOSE

This Directive reissues reference (a) and updates policy, responsibilities, and procedures governing the administrative separation of enlisted members from the Military Services.

B. APPLICABILITY

This Directive applies to the Office of the Secretary of Defense and the Military Departments (including their Reserve components) . The term 'Military Services, ' as used herein, refers to the Army, **the** Navy, the Air Force and the Marine Corps .

c. DEFINITIONS

Terms used in this Directive are defined in enclosure 2.

D. POLICY

1. It is DoD policy to promote the readiness of the Military Services by maintaining high standards of conduct and performance. Separation policy promotes the readiness of the Military Services by providing an orderly means to:

- a. Judge the suitability of persons to serve in the Armed Forces on the basis of their conduct.
- b. Ensure that the Military Services are served by individuals capable of meeting required standards of duty performance and discipline;
- c. Maintain standards of performance and conduct through

characterization of service in a system that emphasized the importance of honorable service;

d. Achieve authorized force levels and grade distributions; and

e. Provide for the orderly administrative separation of enlisted personnel in a variety of circumstances.

2. DoD separation policy is designed to strengthen the concept that military **service** is a calling different from any civilian occupation.

a. The acquisition of military status, whether through enlistment or induction, involves a commitment to the United States, the **Service**, and one's fellow citizens and **Service** members to complete successfully a period of obligated service. Early separation for failure to meet required standards of performance or discipline represents a failure to fulfill that commitment.

b. Millions of Americans from diverse backgrounds and with a wide variety of aptitudes and attitudes upon entering military service have **served** successfully in the Armed Forces. It is DoD policy to provide Service members with the training, motivation, and professional leadership that inspires the dedicated enlisted member to emulate his or her predecessors and peers in meeting required standards of performance and discipline.

c. The Military Services make a substantial investment in training, time, equipment, and related expenses when persons are enlisted or inducted into military service. Separation prior to completion of an obligated period of service is wasteful because it results in loss of this investment and generates a requirement for increased accessions. Consequently, attrition is an issue of significant concern at all levels of responsibility within the Armed Forces. Reasonable efforts should be made to identify enlisted members who exhibit a likelihood for early separation, and to improve their chances for retention through counseling, retraining, and rehabilitation before initiation of separation proceedings. Enlisted members who do not demonstrate potential for further military **service** should be separated to avoid the high costs in terms of pay, administrative efforts, degradation of morale, and substandard mission performance that are associated with retention of enlisted members who do not conform to required standards of discipline and performance despite efforts at counseling, retraining, or rehabilitation.

E. RESPONSIBILITIES

1. The Assistant Secretary of Defense for Personnel and Readiness may supplement the enclosures to this Directive, and may delegate the authority to establish reporting requirements for the reasons for separation (Part 1, enclosure 3) to a Deputy Assistant Secretary.

2. The Secretaries of the Military Departments shall prescribe implementing documents to ensure that the policies, standards, and procedures in this Directive are administered in a manner that provides consistency in separation policy to the extent practicable in a system that is based on command discretion. The implementing documents also shall address the following matters:

a. Processing Goals. The Secretary concerned **shall** establish processing time goals for the types of administrative separations authorized

by this Directive. Such goals shall be designed to further the efficient administration of the Armed Forces and shall be measured from the date of notification to the date of separation. Normally such goals should not exceed 15 working days for the notification procedure (Part 3, section B., enclosure 3) and 50 working days for the administrative board procedure (Part 3, section C., enclosure 3). Goals for shorter processing times are encouraged, particularly for cases in which expeditious action is likely. Variations may be established for complex cases or cases in which the separation authority is not located on the same facility as the respondent. The goals, and a program for monitoring effectiveness, shall be in the implementing document of the Military Department. Failure to process an administrative separation within the prescribed goal for processing times shall not create a bar to separation or characterization.

b. periodic Explanations. The Secretary concerned shall prescribe appropriate internal procedures for periodic explanation to enlisted members of the types of separations, the basis for their issuance, the possible effects of various actions upon reenlistment, civilian employment, veterans' benefits, and related matters, and the effects of 10 U.S.C. 977 (reference (b)) and Pub. L. No. 97-66 (1981) (reference (c)) concerning denial of certain benefits to members who fail to complete at least 2 years of an original enlistment. Such explanation may be provided in the form of a written fact sheet or similar document. The periodic explanation shall take place at least each time the provisions of the Uniform Code of Military Justice (UCMJ) are explained under Article 137 of the UCMJ (reference (d)). The requirement that the effects of the various types of separations be explained to enlisted members is a command responsibility, not a procedural entitlement. Failure on the part of the member to receive or to understand such explanation does not create a bar to separation or characterization.

c. Provision of Information During Separation Processing. The Secretary concerned shall ensure that information concerning the purpose and authority of the Discharge Review Board and the Board for Correction of Military/Naval Records, established under 10 U.S.C. 1552 and 1553 (reference (e)) and DoD Directive 1332.28 (reference (f)) is provided during the separation processing of all members, except when the separation is for an immediate reenlistment. Specific counseling is required under 38 U. S .C. 3103 (a) (reference (g)) which states that a discharge under other than honorable conditions, resulting from a period of continuous, unauthorized absence of 180 days or more, is a conditional bar to benefits administered by the Veterans Administration, notwithstanding any action by a Discharge Review Board. The information required by this paragraph should be provided in the form of a written fact sheet or similar document. Failure on the part of the member to receive or to understand such explanation does not create a bar to separation or characterization.

F. PROCEDURES

Procedures and standards for implementing the policy in section D. , above, are in enclosure 3.

G. EFFECTIVE DATE AND IMPLEMENTATION

1. This Directive is effective February 5, 1994.

2. This Directive applies only to administrative separation proceedings initiated on or after February 5, 1994 unless the Secretary of the Service concerned determines that it should be applied in a particular case in which proceedings were initiated before that date.

3. **Forward** two copies of proposed implementing documents to the Assistant Secretary of Defense for Personnel and Readiness within 30 days of the signature date.

A handwritten signature in black ink, appearing to read "P. Aspin". The signature is stylized with a large, looped initial "P" and a cursive "Aspin".

Enclosures - 4

1. References
2. Definitions
3. Standards and Procedures
4. Guidelines for Fact-Finding Inquiries into Homosexual Conduct

REFERENCES, continued

- (e) Section 1552 of title 10, United States Code (Correction of Military Records) and Section 1553 (Review of Discharge or Dismissal)
- (f) DoD Directive 1332.28, "Discharge Review Board (**DRB**) Procedures and Standards, " August 11, 1982
- (g) Section 3103 of title 38, United States Code (Certain Bars to Benefits (Veterans Administration))
- (h) DoD Directive 1205.5, "Transfer of Members Between Reserve Components of the Military Services, " May 16, 1980
- (i) DoD Instruction 1332.15, "Early Release of Military Enlisted Personnel for College or Vocational/Technical School Enrollment, " June 1, 1976
- (j)** DoD Directive 1344.10, "Political Activities by Members of the Armed Forces on Active Duty, " June 15, 1990
- (k) DoD Directive 1300.6, 'Conscientious Objectors, " August 20, 1971
- (l) DoD Directive 1315.14, 'Special Assignment and Discharge Policies for Family Members," July 15, 1974
- (lll) Section on Mental Disorders, International Classification of Diseases and Injuries - 8, Diagnostic and Statistical Manual (**DSM-III**) of Mental Disorders, 3rd Edition, Committee on Nomenclature & Statistics, American Psychiatric Association, Washington, D.C., 1978
- (n) Chapter 61 of title 10, United States Code (Retirement or Separation for Physical Disability)
- (o) Section 1170 of title 10, United States Code (Regular Enlisted Members: Minority Discharge)
- (p) DoD Directive 1215.13, "Unsatisfactory Performance of Ready **Reserve** Obligation, " June 30, 1979
- (q)** Manual for Courts-Martial, 1969 (Revised Edition), as amended
- (r) DoD 5200.2-R, 'DoD Personnel Security Program, " January 1987, authorized by DoD Directive 5200.2, May 6, 1992
- (s) DoD Instruction 1336.1, 'Certificate of Release or Discharge from Active Duty, " January 6, 1989
- (t)** DoD Directive 1010.1, 'Drug Abuse Testing Program, " December 28, 1984
- (u) Section 1163 of title 10, United States Code (Reserve Components: Members; Limitations on Separations)
- (v) Section 504 of title 10, United States Code (Persons Not Qualified for Enlistment)
- (w) Section 505 of title 10, United States Code (Regular Components: Qualifications, Terms, Grade)
- (x) Section 266 of title 10, United States Code (Boards for Appointment, Promotion, and Certain Other Purposes:
- (y)** Section 654 of title 10, United States Code (Policy concerning homosexuality in the Armed Forces)

DEFINITIONS

A. **Bisexual**. A person who engages in, attempts to engage in, has a propensity to engage in, or intends to engage in homosexual and heterosexual acts.

B. **Convenina Authority**. (1) The Separation Authority or (2) a commanding officer who has been authorized by the Secretary concerned to process the case except for final action and who otherwise has the qualifications to act as a Separation Authority.

C. **Discharge**. Complete severance from all military status gained by the enlistment or induction concerned.

D. **Entry-Level Status**. Upon enlistment, a member qualifies for entry-level status during (1) the first 180 days of continuous active military **service** or (2) the first 180 days of continuous active service after a service break of more than 92 days of active service. A member of a Reserve component who is not on active duty or who is **serving** under a call or order to active duty for 180 days or less begins entry level status upon enlistment in a Reserve component. Entry level status for such a member of a Reserve component terminates as follows: (a) 180 days after beginning training if the member is ordered to active duty for training for one continuous period of 180 days or more; or (b) 90 days after the beginning of the second period of active duty training if the member is ordered to active duty for training under a program that splits the training into two or more separate periods of active duty. For the purposes of characterization of service or description of separation, the member's status is determined by the date of notification as to the initiation of separation proceedings.

E. **Homosexual**. A person, regardless of sex, who engages in, attempts to engage in, has a propensity to engage in, or intends to engage in homosexual acts.

F. **Homosexual Act**

1. Any bodily contact, actively undertaken or passively permitted, between members of the same sex for the purpose of satisfying sexual desires, and

2. Any bodily contact that a reasonable person would understand to demonstrate a propensity or intent to engage in an act described in subsection F.1., above.

G. **Homosexual Conduct**. A homosexual act, a statement by the Service member that demonstrates a propensity or intent to engage in homosexual acts, or a homosexual marriage or attempted marriage.

H. **Member**. An enlisted member of a Military Service.

I. **Military Record**. An individual's overall performance while a member of a Military Service, including personal conduct and performance of duty.

J. **Propensity**. Propensity to engage in homosexual acts means more than an abstract preference or desire to engage in homosexual acts; it indicates a likelihood that a person engages in or will engage in homosexual acts.

K. **Release from Active Duty**. Termination of active duty status and transfer or revision to a Reserve component not on active duty, including transfer to the Individual Ready Reserve (IRR).

L. **Respondent.** A member of a Military Service who has been notified that action has been initiated to separate the member.

M. **Separation** A general term that includes discharge, release from active duty, release from custody and control of the Armed Forces, transfer to the IRR, and similar changes in Active or Reserve status.

N. **Separation Authority.** An official authorized by the Secretary concerned to take final action with respect to a specified type of separation.

O. **Sexual Orientation.** A sexual attraction to individuals of a particular sex.

STANDARDS AND PROCEDURES

TABLE OF CONTENTS

| | |
|--|------------|
| <u>PART 1. REASONS FOR SEPARATION</u> | 1-1 |
| A. <u>Expiration of Service Obligation</u> | 1-1 |
| 1. Basis | 1-1 |
| 2. Characterization or Description | 1-1 |
| B. <u>Selected Changes in Service Obligations</u> | 1-1 |
| 1. Basis | 1-1 |
| 2. Characterization or Description | 1-2 |
| C. <u>Convenience of the Government</u> | 1-2 |
| 1. Basis | 1-2 |
| 2. Characterization or Description | 1-2 |
| 3. Procedures | 1-2 |
| 4. Reasons | 1-2 |
| a. Early release to further education | 1-2 |
| b. Early release to accept public office | 1-2 |
| c. Dependency or hardship | 1-3 |
| d. Pregnancy or childbirth | 1-3 |
| e. Parenthood | 1-3 |
| f. Conscientious objection | 1-3 |
| g. Surviving family member | 1-3 |
| h. Other designated physical or mental conditions | 1-3 |
| i. Additional grounds | 1-4 |
| D. <u>Disability</u> | 1-4 |
| 1. Basis | 1-4 |
| 2. Characterization or Description | 1-5 |
| 3. Procedures | 1-5 |
| E. <u>Defective Enlistments and Inductions</u> | 1-5 |
| 1. Minority | 1-5 |
| a. Basis | 1-5 |
| (1) Under age 17 | 1-5 |
| (2) Age 17 | 1-5 |
| b. Description of Separation | 1-5 |
| c. Procedure | 1-6 |
| 2. Erroneous | 1-6 |
| a. Basis | 1-6 |
| b. Characterization or Description | 1-6 |
| c. Procedure | 1-6 |
| 3. Defective enlistment agreements | 1-6 |
| a. Basis | 1-6 |
| b. Characterization or Description | 1-7 |
| c. Procedures | 1-7 |
| 4. Fraudulent Entry into the Military Service | 1-7 |
| a. Basis | 1-7 |
| b. Characterization of Description | 1-7 |
| c. Procedures | 1-7 |
| 5. Separation from the Delayed Entry Program | 1-8 |

| | | |
|----|---|------|
| | a. Basis | 1-8 |
| | b. Description of Separation | 1-8 |
| | c. Procedure | 1-8 |
| F. | <u>Entry Level Performance and Conduct</u> | 1-8 |
| | 1. Basis | 1-8 |
| | 2. Counseling and Rehabilitation | 1-8 |
| | 3. Description of Separation | 1-8 |
| | 4. Procedures | 1-8 |
| G. | <u>Unsatisfactory Performance</u> | 1-8 |
| | 1. Basis | 1-9 |
| | 2. Counseling and Rehabilitation | 1-9 |
| | 3. Characterization or Description | 1-9 |
| | 4. Procedures | 1-9 |
| H. | <u>Homosexual Conduct</u> | 1-9 |
| | 1. Basis | 1-9 |
| | 2. Burden of Proof | 1-10 |
| | 3. Characterization or Description | 1-10 |
| | 4. Procedures | 1-11 |
| I. | <u>Drug Abuse Rehabilitation Failure</u> | 1-12 |
| | 1. Basis | 1-12 |
| | 2. Characterization or Description | 1-13 |
| | 3. Procedures | 1-13 |
| J. | <u>Alcohol Abuse Rehabilitation Failure</u> | 1-13 |
| | 1. Basis | 1-13 |
| | 2. Characterization or Description | 1-13 |
| | 3. Procedures | 1-13 |
| K. | <u>Misconduct</u> | 1-13 |
| | 1. Basis | 1-13 |
| | 2. Counseling and Rehabilitation | 1-14 |
| | 3. Characterization or Description | 1-14 |
| | 4. Procedures | 1-15 |
| L. | <u>Separation in lieu of Trial by Court-Martial</u> | 1-15 |
| | 1. Basis | 1-15 |
| | 2. Characterization or Description | 1-15 |
| | 3. Procedures | 1-15 |
| M. | <u>Security</u> | 1-16 |
| | 1. Basis | 1-16 |
| | 2. Characterization or Description | 1-16 |
| N. | <u>Unsatisfactory Participation in the Ready Reserve</u> | 1-16 |
| | 1. Basis | 1-16 |
| | 2. Characterization or Description | 1-16 |
| | 3. Procedures | 1-16 |
| O. | <u>Secretarial Plenary Authority</u> | 1-16 |

| | | |
|----------------|--|------|
| 1. | Basis | 1-16 |
| 2. | Characterization or Description | 1-16 |
| 3. | Procedures | 1-17 |
| P. | <u>Reasons Established by the Military Departments</u> | 1-17 |
| 1. | Basis | 1-17 |
| 2. | Counseling and Rehabilitation | 1-17 |
| 3. | Characterization or Description | 1-17 |
| 4. | Procedures | 1-17 |
| Q. | <u>Weight Control Failure</u> | 1-17 |
| 1. | Basis | 1-17 |
| 2. | Counseling and Rehabilitation | 1-17 |
| 3. | Characterization or Description | 1-17 |
| 4. | Procedures | 1-17 |
| PART 2. | <u>GUIDELINES ON SEPARATION AND CHARACTERIZATION</u> | 2-1 |
| A. | <u>Separation</u> | 2-1 |
| 1. | Scope | 2-1 |
| 2. | Guidance | 2-1 |
| 3. | Limitations on separation actions | 2-2 |
| B. | <u>Suspension of Separation</u> | 2-3 |
| 1. | Suspension | 2-3 |
| 2. | Action during the period of suspension | 2-3 |
| C. | <u>Characterization of Service or Description of Separation</u> | 2-4 |
| 1. | Types of characterization or description | 2-4 |
| 2. | Characterization of service | 2-4 |
| a. | General considerations | 2-4 |
| b. | Types of characterization | 2-5 |
| (1) | Honorable | 2-5 |
| (2) | General (under honorable conditions) | 2-5 |
| (3) | Under Other Than Honorable Conditions | 2-6 |
| c. | Limitations on characterization | 2-6 |
| 3. | Uncharacterized separation | 2-8 |
| a. | Entry-Level Separation | 2-8 |
| b. | Void Enlistments or Inductions | 2-8 |
| c. | Dropping from the rolls | 2-10 |
| PART 3. | <u>PROCEDURES FOR SEPARATION</u> | 3-1 |
| A. | <u>Scope</u> | 3-1 |
| B. | <u>Notification Procedure</u> | 3-1 |
| 1. | Notice | 3-1 |
| 2. | Additional notice requirements | 3-2 |
| 3. | Response | 3-3 |
| 4. | Separation Authority | 3-3 |
| C. | <u>Administrative Board Procedure</u> | 3-4 |
| 1. | Notice | 3-4 |
| 2. | Additional notice requirements | 3-5 |
| 3. | Response | 3-6 |
| 4. | Waiver | 3-6 |

| | | |
|----|--|------|
| 5. | Hearing procedure | 3-6 |
| a. | Composition | 3--? |
| b. | Presiding Officer | 3-7 |
| c. | Witnesses | 3-7 |
| d. | Record of Proceedings | 3-9 |
| e. | Presentation of Evidence | 3-9 |
| f. | Rights of the Respondent | 3-9 |
| g. | Findings and Recommendations | 3-9 |
| 6. | Separation Authority | 3-10 |
| D. | <u>Additional Provisions Concerning Members Confined by Civil Authorities</u> | 3-12 |
| E. | <u>Additional Requirements for Certain Members of Reserve Components</u> | 3-13 |
| | 1. Members of reserve components not on active duty | 3-13 |
| | 2. Transfer to the IRR | 3-14 |
| F. | <u>Additional Requirements for Members Beyond Military Control by Reason of Unauthorized Absence</u> | 3-15 |
| | 1. Determination of applicability | 3-15 |
| | 2. Notice | 3-15 |
| | 3. Members of Reserve components | 3-15 |

PART 1

REASONS FOR SEPARATION

A. Expiration of Service Obligation

1. Basis. A member may be separated upon expiration of enlistment or fulfillment of service obligation. This includes separation authorized by the Secretary concerned when the member **is within 30** days of the date of expiration of term of service under the following circumstances:

a. ~~The~~ member is serving outside the continental United States (CONUS); or

b. The member is a resident of a State, territory, **or** possession outside CONUS and **is** serving outside the member's State, territory, or possession of residence.

2. Characterization or Description. Honorable, unless:

a. An Entry-Level Separation is required under subsection C.3. of Part 2;

b. Characterization of service as General (under honorable conditions) is warranted under section C. of Part 2 on the basis of numerical scores accumulated in a formal, **Service-**wide rating system that evaluates conduct and performance on a regular basis; or

c. Another characterization is warranted upon discharge from the IRR under section E. of Part 3.

B. Selected Changes in Service Obligations

1. Basis. A member may be separated for the following reasons:

a. General demobilization or reduction in authorized strength.

b. Early separation of personnel under a program established by the Secretary concerned. A **copy** of the document authorizing such program shall be forwarded **to the** Assistant Secretary of Defense for Personnel and Readiness (**ASD(P&R)**) on or before the date of implementation.

c. Acceptance of an active duty commission or appointment, or acceptance into a program leading to such commission or appointment in any branch of the Military Services.

d. Immediate enlistment or reenlistment

e. Interservice transfer of inactive reserves in accordance with DoD Directive 1205.5 (reference (h)).

2. Characterization or description. Honorable, unless:

a. An Entry Level Separation is **required** under **section C.** of Part 2;

b. Characterization of **service** as General (under honorable conditions) is warranted under section C. of Part 2 on the basis of numerical scores accumulated in a formal , service-wide rating system that evaluates conduct and performance on a regular **basis**; or

c. Another characterization is warranted upon discharge from the IRR under section E. of Part 3.

C. Convenience of the Government

1. Basis. A member may be separated for convenience of the government for the reasons set forth in subsection C. 4., below.

2. Characterization or description. Honorable, unless:

a. An Entry Level Separation is required under section C. of Part 2; or

b. Characterization *of service* as General (under honorable conditions) **is** warranted under section C. of Part 2.

3. Procedures. Procedural requirements may **be** established by the Secretary concerned, subject to procedures established in subsection C. 4., below. Prior to characterization of service as General (under honorable conditions) , the **member** shall be notified of **the specific factors in the** service record that warrant such a characterization? and the Notification Procedure (section B. of Part 3) shall be used. Such notice and procedure is not required, however, when characterization of service as General (under honorable conditions) is based upon numerical scores accumulated in a formal , service-wide rating system that evaluates conduct and performance , on a regular basis.

4. Reasons

a. Early release to further education. A member may be **separated** under DoD Directive 1332.15 (reference (i)) to attend a college, university , vocational school, or technical school.

b. Early release to accept public office. A member **may** be separated to accept Public office only under circumstances **authorized** by the **Military** department concerned and consistent with DoD Directive 1344.10 (reference (j)).

c. Dependency or hardship

(1) Upon request of the member and concurrence of the government, separation may be directed when genuine dependency or undue hardship exists under the following circumstances:

(a) The hardship or dependency is not temporary;

(b) Conditions have arisen or have been aggravated to an excessive degree since entry into the Service, and the member has made every reasonable effort to remedy the situation;

(c) The administrative separation will eliminate or materially alleviate the condition; and

(d) There are no other means of alleviation reasonably available.

(2) Undue hardship does not necessarily exist solely because of altered present or expected income, family separation, or other inconveniences normally incident to Military Service.

d. Pregnancy or childbirth. A female member may be separated on the basis of pregnancy or childbirth upon her request, unless retention is determined to be in the best interests of the Service under section A. of Part 2 and guidance established by Military Department concerned.

e. Parenthood. A member may be separated by reason of Parenthood if as a result thereof it is determined under the guidance set forth in section A. of Part 2 that the member is unable satisfactorily to perform his or her duties or is unavailable for worldwide assignment or deployment. Prior to involuntary separation under this provision, the Notification Procedure (section B. of Part 3) shall be used. Separation processing may not be initiated until the member has been counseled formally concerning deficiencies and has been afforded an opportunity to overcome those deficiencies as reflected in appropriate counseling or personnel records.

f. Conscientious objection. A member may be separated if authorized under DoD Directive 1300.6 (reference(k)).

g. Surviving family member. A member may be separated if authorized under DoD Directive 1315.14 (reference (1)) .

h. Other designated physical or mental conditions.

(1) The Secretary concerned may authorize separation on the basis of other designated physical or mental conditions, not amounting to Disability (section D., below),

that potentially interfere with assignment to or performance of duty under the guidance set forth in section A. of Part 2. Such conditions may include but are not limited to chronic seasickness or airsickness? **enuresis**, and personality disorder.¹

(2) Separation processing may not be initiated until the member has been counseled formally concerning deficiencies and has been afforded an opportunity to overcome those deficiencies as reflected in appropriate counseling or personnel records.

(3) Separation on the basis of **personality** disorder is authorized only if a diagnosis by a psychiatrist or psychologist, completed in accordance with procedures established by the Military Department concerned, concludes that the disorder is so severe that the member's ability to function effectively in the military environment is significantly impaired.

(4) Separation *for* personality disorder is not appropriate when separation is warranted under sections A. through N. or section P. *of this Part. For example*, if separation is warranted on the basis of unsatisfactory performance (section G.) or misconduct (section K.), the member should not be separated under this section regardless of the existence of a personality disorder.

(5) Nothing in this provision **precludes** separation of a member who has such a condition under any **other** basis set forth under this section (Convenience of the Government) or for any other *reason* authorized by this Directive.

(6) Prior to involuntary separation under this provision, the Notification Procedure (section B. of Part 3) shall be used.

(7) The reasons designated by the Secretary concerned shall be separately **reported**.

i. Additional grounds. The Secretary concerned may provide additional grounds for separation for the convenience of the government. A copy of the document authorizing such grounds shall be forwarded to the ASD (P&R) on or before the date of **implementation**.

D. Disability

1. Basis. A member may be separated for disability. under the provisions of 10 U. S.C. , chapter 61 (reference (n)).

¹personality disorders are described in the Diagnostic and Statistical Manual (**DSM-III**) of Mental Disorders (reference □)).

2. Characterization or description. Honorable, **unless:**

a. An Entry Level Separation is required under section C. of Part 2; or

b. Characterization of **service** as General (under honorable conditions) is warranted under section C. of Part 2.

3. Procedures. Procedural requirements for separation may be established by the Military Departments consistent with chapter 61 (reference (n)). If separation is recommended, the following requirements apply prior to characterization of **service** as General (under honorable conditions): the member shall be notified of the specific factors in the service record that warrant such a characterization, and the Notification Procedure (section B. of Part 3) shall be used. Such notice and procedure is not required, however, when characterization of **service** as General (under honorable conditions) is based upon numerical scores accumulated in a formal, service-wide rating system that evaluates conduct and performance on a regular basis.

E. Defective Enlistments and Inductions

1. Minority

a. Basis

(1) Under age 17. If a member is under the age of 17, the enlistment of the member is void, and the member shall be separated.

(2) Age 17. A member shall be separated under 10 U. S .C. §1170 (reference (o)) in the following circumstances except when the member is retained for the purpose of trial by court-martial:

(a) There is evidence satisfactory to the Secretary concerned that the member is under 18 years of age;

(b) The member enlisted without the written consent of the member's parent or guardian; and

(c) " An application for the member's separation is submitted to the Secretary concerned by the parent or guardian within 90 days of the member's enlistment.

b. Description of separation. A member separated under subparagraph E. 1. a. (1) , above? shall receive an order of release f **ran the** custody and control of the armed forces (by reason of void enlistment or induction). The separation of a member under subparagraph E. 1. a. (2), above, shall be described as an Entry Level Separation.

c* Procedure. The Notification Procedure (section B. of Part 3) shall be used.

2. Erroneous

a. Basis. A member may be separated on the basis of an erroneous enlistment? induction , or extension of enlistment under the guidance set forth in section A. of Part 2. An enlistment , induction, *or* extension of enlistment is erroneous in the following circumstances, if:

(1) It would not have occurred had the relevant facts been known by the government or had appropriate directives been followed;

(2) It was not the result of fraudulent conduct on the part *of* the member; and

(3) The defect is unchanged in material respects.

b. Characterization or description. Honorable, unless an Entry Level Separation or an order of release from the custody and **control** of the Military Services (by reason of void enlistment or induction) is required under section C. *of* Part 2.

cm Procedure

(1) If the command recommends that the individual be retained in military service, the initiation *of* separation processing is not required in the following circumstances:

(a) The defect is no longer present; or

(b) The defect **is waivable** and a waiver is obtained from appropriate authority.

(2) If separation processing is initiated, the Notification Procedure (section B. of Part 3) shall be used.

3. Defective enlistment agreements

a. Basis. A defective enlistment agreement exists in the following circumstances:

(1) As a result of a material **misrepresentation** by recruiting personnel , upon which the member **reasonabl y** relied, the member was induced to enlist with a commitment for which the member was not qualified;

(2) The member received a written enlistment commitment **from** recruiting personnel for which the **member** was qualified, but which cannot be **fulfilled** by the Military Service; or

(3) The enlistment was involuntary. See 10 U.S.C. 802 (reference (d)).

b. Characterization or Description. Honorable, unless an Entry Level Separation or an order of release from the custody and control of the Military Services (by reason of void enlistment) is required under section C. of Part 2.

c. Procedures. This provision does not bar appropriate disciplinary action or other administrative separation proceedings regardless of when the defect is raised. Separation is appropriate under this provision only in the following circumstances:

(1) The member did not knowingly participate in creation of the defective enlistment;

(2) The member brings the defect to the attention of appropriate authorities within 30 days after the defect is discovered or reasonably should have been discovered by the member;

(3) The member requests separation instead of other authorized corrective action; and

(4) The requests otherwise meets such criteria as may be established by the Secretary concerned.

4. Fraudulent Entry Into the Military Service

a. Basis. A member may be separated under guidance in section A. of Part 2 on the basis of procurement of a fraudulent enlistment, induction, or period of military service through any deliberate material misrepresentation, omission, or concealment that, if known at the time of enlistment, induction, or entry onto a period of military service might have resulted in rejection.

b. Characterization of Description. Characterization of service or description of separation shall be in **accordance** with section C. of Part 2. If the fraud involves concealment of a prior separation in which service was not characterized as Honorable, characterization normally shall be Under Other Than Honorable Conditions.

c. Procedures. The Notification Procedure (section B. of Part 3) shall be used except as follows:

(1) Characterization of **service** Under Other Than Honorable Conditions may not be issued unless the Administrative Board Procedure (section C. of Part 3) is used.

(2) When the sole reason for separation is fraudulent entry, suspension of separation (section B. of Part 2) is not authorized. When there are approved reasons for separation in addition to fraudulent entry, suspension of separation is authorized only in the following circumstances:

(a) A waiver of the fraudulent entry is approved; and

(b) The suspension pertains to reasons for separation other than the fraudulent entry.

(3) If the command recommends that the member be retained in military service, the initiation of separation processing is unnecessary in the following circumstances:

(a) The defect is no longer present; or

(b) The defect is waivable and a waiver is obtained from appropriate authority.

(4) If the material misrepresentation includes **preservice** or prior service homosexual conduct (subsection H.1. of this enclosure, below), the standards of paragraph H. 1. b. and procedures of subsection H. 3. below, shall be applied in processing a separation under this section. In such a case, the characterization or description of the separation shall be determined under paragraph E. 4. b. , above.

5. **Separation from the Delayed Entry Program**

a. **Basis.** A person who is in the Delayed Entry Program may be separated because of ineligibility for enlistment under standards prescribed by the Secretary concerned or upon his or her request when authorized by the Secretary concerned.

b. **Description of Separation.** **Entry level separation.**

c. **Procedure.** The person shall be notified of the proposed separation and the reasons therefor. The member shall be given the opportunity to submit to the separation authority a statement in rebuttal by a specified date (not less than 30 days from the date of delivery) . The notice shall **be** delivered personally or sent by registered or certified mail, return receipt requested (or by an equivalent form of notice if such service is not available by the U.S. mail at an address outside the United States) . If the person fails to acknowledge receipt of notice, the individual who mails the notification shall prepare a Sworn Affidavit of Service by Mail (see DoD Directive 1215.13, reference (p)) that shall be inserted in the file along with postal Service Form 3800.

F. **Entry Level Performance and Conduct**

1. **Basis**

a. A member may be separated while in entry level status (section 1. of enclosure 2) when it is determined under the guidance in section A. of Part 2 that the member is unqualified for further military service by reason of unsatisfactory performance or conduct (or both) , as evidenced by inability, lack of reasonable effort, failure to adapt to the military environment, or minor disciplinary infractions.

b. When separation of a member in entry-level status is warranted by unsatisfactory performance or minor disciplinary infractions (or both), the member normally should be separated under this section. Nothing in this provision precludes separation under another provision of this Directive when such separation is authorized and warranted by the circumstances of the case.

2. **Counseling and Rehabilitation.** Separation processing may not be initiated until the member has been counseled formally concerning those deficiencies and has been afforded an opportunity to overcome those deficiencies as reflected in appropriate counseling or personnel records. Counseling and rehabilitation requirements are important with respect to this reason for separation. Because military service is a calling different from any civilian occupation, a member should not be separated when this is the sole reason unless there have been efforts at rehabilitation under standards prescribed by the Secretary concerned.

3. **Description of Separation.** **Entry-Level Separation.**

4. **Procedures.** The Notification Procedure (section B. of Part 3) **shall** be used.

G. **Unsatisfactory Performance**

1. **Basis.** A member may be separated when it is determined under the guidance in section A. of Part 2 that the member is unqualified for further military service by reason of unsatisfactory performance. This reason **shal** 1 not be used if the member is in entry-level status (section I. of enclosure 2).

2. **Counseling and Rehabilitation.** Separation processing may not be initiated until the member has been counseled formally concerning deficiencies and has been afforded an opportunity to overcome those deficiencies as reflected in appropriate counseling or personnel records. Counseling and rehabilitation requirements are of particular importance with respect to this reason for separation. Because military service is a calling different from any civilian occupation, a member should not be separated when unsatisfactory performance is the sole reason unless there have been efforts at rehabilitation under standards prescribed by the Secretary concerned.

3. **Characterization or Description.** The service shall be characterized as Honorable or General (under honorable conditions) in accordance with section C. of Part 2.

4. **Procedures.** The Notification Procedure (section B. of Part 3) shall be used.

H. **Homosexual Conduct**

1. **Basis**

a. Homosexual conduct is grounds for separation from the Military Services. Homosexual conduct includes homosexual acts, a statement by a member that demonstrates a propensity or intent to engage in homosexual acts, or a homosexual marriage or attempted marriage. A statement by a member that demonstrates a propensity or intent to engage in homosexual acts is grounds for separation not because it reflects the member's sexual orientation, but because the statement indicates a 1 ikelihood that the member engages in or will engage in homosexual acts. Sexual orientation is considered a personal and private matter, and homosexual orientation is not a bar to continued service unless manifested by homosexual conduct.

b. A member shall be separated under this section if one or more of the f ol lowing approved findings is made:

(1) **The** member has engaged in, attempted to engage in, or solicited another to engage in a homosexual act or acts, unless there are approved further findings that:

(a) Such acts are a departure from the member's usual and customary behavior;

(b) Such acts under all the circumstances are unlikely to recur;

(c) Such acts were not accomplished by use of force, coercion, or intimidation;

(d) Under the particular circumstances of the case, the member's continued presence in the Armed Forces is consistent with the interest of the Armed Forces in proper discipline, good order, and morale; and

(e) The member does not have a propensity or intent to engage in homosexual acts.

(2) The member has made a statement that he or she is a homosexual or bisexual, or words to that effect, unless there is a further approved finding that the member has demonstrated that he or she is not a person who engages in, attempts to engage in, has a propensity to engage in, or intends to engage in homosexual acts. A statement by a **Service** member that he or she is a homosexual or bisexual, or words to that effect, creates a rebuttable presumption that the Service member engages in homosexual acts or has a propensity or intent to do so. The Service member shall be advised of this presumption and given the opportunity to rebut the presumption by presenting evidence that he or she does not engage in homosexual acts and does not have a propensity or intent to do so. Propensity to engage in homosexual acts means more than an abstract preference or desire to engage in homosexual acts; it indicates a likelihood that a person engages in or will engage in homosexual acts. In determining whether a member has successfully rebutted the presumption that he or she engages in or has a propensity or intent to engage in homosexual acts, some or all of the following **may** be considered:

- a) Whether the member has engaged in homosexual acts;
- (b) The member's credibility;
- (c) Testimony from others about the member's past conduct, character, and credibility;
- (d) The nature and circumstances of the member's statement;
- (e) **Any** other evidence relevant to whether the member is **likely** to engage in homosexual acts.

(This list is not exhaustive; any other relevant evidence may also be considered.)

(3) The member has married or attempted to marry a person known to be of the same biological sex (as evidenced by the external anatomy of the persons involved) .

2. **Burden of Proof.** See paragraphs H. 4. e. and f. , below, for guidance as to the burden of proof and when a finding regarding retention is required.

3. **Characterization or Description.** Characterization of service or description of separation shall be in accordance with the guidance in section C. of Part 2. When the sole basis for separation is homosexual conduct, a characterization Under Other Than Honorable Conditions may be issued only if such a characterization is warranted under section C. of Part 2 and there is a finding that during the current term of service the member attempted, solicited, or committed a homosexual act in the following circumstances:

- a. By using force, coercion, or intimidation;
- b. With a person under 16 years of age;
- c. With a subordinate in circumstances that violate customary military superior-subordinate relationships;
- d. Openly in public view;
- e. For compensation;

f. Aboard a military vessel or aircraft; or

g. In another location subject to military control under aggravating circumstances noted in the finding that have an adverse impact on discipline, good order, or morale comparable to the impact of such activity aboard a vessel or aircraft.

4. Procedures. The Administrative Board Procedure (section C. of Part 3) shall be used, subject to the following guidance:

a. ~~sepa~~ separation processing shall be initiated if there is probable cause **to believe** separation is warranted under paragraph H. 1. b. , above. Fact-finding procedures for inquiries into homosexual conduct are in enclosure 4.

b. The Administrative Board shall follow the procedures set forth in subsection C.5. of Part 3, except with respect to the following matters:

(1) If the Board finds that one or more of the circumstances authorizing separation under paragraph H.1.b. , above, is supported by the evidence, the Board shall recommend separation unless the Board finds that retention is warranted under the limited circumstances described in that paragraph.

(2) If the Board does not find that there is sufficient evidence that one or more of the circumstances authorizing separation under paragraph H. 1. b. has occurred, the Board shall recommend retention unless the case involves another basis for separation of which the member has been duly notified.

c. In any case in which characterization **of service** Under Other Than Honorable Conditions is not authorized, the Separation Authority may be exercised by an officer designated under paragraph **B.4.a.** of Part 3.

d. The Separation Authority shall dispose of the case according to the following provisions:

(1) If the Board recommends retention, the Separation Authority shall take one of the following actions:

(a) Approve the finding and direct retention; or

(b) Forward the case to the Secretary concerned with a recommendation that the Secretary separate the member under the Secretary's authority (section O. of this Part) .

(2) If the Board recommends separation, the Separation Authority shall take one of the following actions:

(a) Approve the finding and direct separation; or

(b) Disapprove the finding on the basis of the following considerations:

1 There is insufficient evidence to support the finding; or

2 Retention is warranted under the limited circumstances described in paragraph H. 1. b. , above.

(3) If there has been a waiver of Board proceedings, the Separation Authority shall dispose of the case in accordance with the following provisions:

(a) If the Separation Authority determines that there is not sufficient evidence to **support** separation under paragraph **H.1.b.**, **above**, the Separation Authority shall direct retention unless there **is** another basis for separation of which the member has been duly notified.

(b) If the Separation Authority determines that one or more of the circumstances authorizing separation under paragraph **H.1.b.** has occurred, the member shall be separated unless retention is warranted under the limited circumstances described in that paragraph.

e. The member shall bear the burden of proving, by a preponderance of the evidence, that retention is warranted under the limited circumstances described in paragraph H. 1. b. except in cases where the member's conduct was solely the result of a desire to avoid or terminate military **service**.

f. Findings regarding whether or not retention is warranted under the limited circumstances **of** paragraph **H.1.b.** are required if the member clearly and specifically raises such limited circumstances.

g. Nothing in these procedures:

(1) Limits the authority of the Secretary concerned to take appropriate action in a case to ensure that there has been **compliance with** this Directive;

(2) Requires that a member be processed for separation when a determination is made in accordance with regulations prescribed by the Secretary concerned that:

(a) The member engaged in acts, made statements, or married or attempted to marry a person known to be of the same biological sex for the purpose of avoiding or terminating military service; and

(b) Separation of the member would not be in the best interest of the Armed Forces.

(3) Precludes retention of a member for a limited **period** of time in the **interests** of national security as authorized by the **Secretary** concerned;

(4) Authorizes a member to seek Secretarial review unless authorized in procedures promulgated by the Secretary concerned; ..

(5) Precludes separation in appropriate circumstances for another reason in this Directive; or

(6) Precludes trial by court-martial in appropriate cases.

I. Drug Abuse Rehabilitation Failure

1. Basis

a. A member who has been referred to a program of rehabilitation for personal drug and alcohol abuse may be separated for failure through inability or refusal to participate in, cooperate in, or successfully complete such a program in the following circumstances:

(1) There is a lack of Potential for continued military service;
or

(2) Long-term rehabilitation is determined necessary and the member is transferred to a civilian medical facility for rehabilitation.

b. Nothing in this provision precludes separation of a member who has been referred to such a program under any other provision of this Directive in appropriate cases.

c. Drug abuse rehabilitation failures shall be reported separately from alcohol abuse rehabilitation failures. If separation is based on both, the primary basis shall be used for reporting requirements.

2. **Characterization or Description**. When a member is separated under this provision, characterization of service as Honorable or General (under honorable conditions) is authorized except when an Entry-Level Separation is required under section C. of Part 2. The relationship between voluntary submission for treatment and the evidence that may be considered on the issue of characterization is set forth in subparagraph **C.2.c.** (6) of part 2. The relationship between **mandatory** urinalysis and the evidence that may be considered on the issue of characterization is in paragraph **C.2.c.** (7) of Part 2.

3. **Procedures**. The Notification Procedure (section B. of Part 3) shall be used.

J. **Alcohol Abuse Rehabilitation Failure**

1. **Basis**

a. A member who has been referred to a program of rehabilitation for drug and alcohol abuse may be separated for failure through inability or refusal to participate in, cooperate in, or successfully complete such a program in the following circumstances:

(1) There is a lack of potential for continued military **service**;
or

(2) Long term rehabilitation **is** determined **necessary and the** member **is** transferred to a civilian medical facility for rehabilitation.

b. Nothing in this provision precludes separation of a member who has been referred to such a program under any other provision of this Directive in appropriate cases.

c. Alcohol abuse rehabilitation failures shall be reported separately from drug abuse rehabilitation failures. If separation is based on both, the primary basis shall be used for reporting purposes

2. **Characterization or Description** When a member is separated under this provision, characterization of service as Honorable or General (under honorable conditions) is authorized except when an Entry-Level Separation is required under section C. of Part 2.

3. **Procedures**. The Notification Procedures (section B. of Part 3) shall be used.

K. **Misconduct**

1. **Basis**

a. **Reasons**. A member may be separated for misconduct when it is **determined** under the guidance set forth in section A. of Part 2 that **the member is** unqualified for further military service by reason of one or more of the following circumstances:

(1) **Minor Disciplinary Infraction**. A pattern of misconduct consisting solely of minor disciplinary infractions. If separation of a member in entry-level status is warranted solely by reason of minor

disciplinary infractions, the action should be processed under Entry-Level Performance and Conduct (section F. of this enclosure, **above**) .

(2) **A pattern of Misconduct.** A pattern of misconduct consisting of (a) discreditable involvement with civil or military authorities or (b) conduct prejudicial to good order and discipline.

(3) **Commission of a Serious Offense.** Commission of a serious military or civilian offense if in the following circumstances:

(a) The specific circumstances of the offense warrant separation; and

(b) A punitive discharge would be authorized for the same or a closely related offense under the Manual for Courts-Martial (reference (q)) .

(4) **Civilian Conviction**

(a) Conviction by civilian authorities or action taken that is tantamount to a finding of guilty, including similar adjudications in juvenile proceedings, when the specific circumstances of the offense warrant separation, and the following conditions are present:

1 A punitive discharge would be authorized for the same or a closely related offense under the Manual for Courts-Martial (reference (q)) ; or

2 The sentence by civilian authorities includes confinement for 6 months or more without regard to suspension or probation.

(b) Separation processing may be initiated whether or not a member has filed an appeal of a civilian conviction or has stated an intention to do so. Execution of an approved separation should be withheld pending outcome of the appeal or until the time for appeal has passed, but the member may be separated before final action on the appeal upon request of the member or upon direction **of the** Secretary concerned.

b. **Reverting.** The Deputy Assistant Secretary **of** Defense (Military Manpower & Personnel Policy) , Office of the ASD (**P&R**) , shall require separate reports under each subparagraph in paragraph **K.1.a.** for misconduct by reason of **drug** abuse, unauthorized absence, and such other categories as may be appropriate.

c. **Related Separations.** Homosexual conduct shall be processed under section H. Misconduct involving a fraudulent enlistment is considered under subsection **E.4.**, above.

2. **Counseling and Rehabilitation.** Separation processing for a pattern of misconduct (subparagraph **K.1.a. (1)** and (2) of **this enclosure**, above) **may** not be initiated until the member has been counseled formally concerning deficiencies and has been afforded an opportunity to overcome those deficiencies as reflected in appropriate counseling or personnel records. If the sole basis of separation is a single offense (subparagraph **K.1.a. (3)**) or a civilian conviction or a similar juvenile adjudication (subparagraph **K.1.a. (4)**) , the counseling and rehabilitation requirements are not applicable.

3. **Characterization or Description.** Characterization of service normally shall be Under Other Than Honorable Conditions, but characterization as General (under honorable conditions) may be warranted under the guidelines in section C. of Part 2. For respondents who have completed entry-level status, characterization of **service** as Honorable is not authorized unless the respondent's record is otherwise so meritorious that any other characterization clearly would be inappropriate. In such cases, separations for

misconduct with an Honorable characterization shall be approved by a commander exercising general court-martial jurisdiction or higher authority as specified by the Secretary concerned. (As an exception, the Secretary concerned may authorize general court-martial convening authorities to delegate authority to the special court-martial convening authorities to approve separations with service characterized as Honorable when the sole evidence of misconduct is command-directed urinalysis results, which **cannot** be used for characterization of service, or when an administrative discharge board has recommended separation with an Honorable discharge.) When characterization of service Under Other than Honorable Conditions is not warranted for a member in entry-level status under section C. of Part 2, the separation shall be described as an Entry-Level Separation.

4. **Procedures.** The Administrative Board Procedure (section C. of Part 3) shall be used; however, use of the Notification Procedure (section B. of Part 3) is authorized if characterization of **service Under Other Than Honorable Conditions** is not warranted under section C. of Part 2.

L. **Separation in Lieu of Trial by Court-Martial**

1. **Basis.** A member may be separated upon request of trial by **court-martial** if charges have been preferred with respect to an offense for which a punitive discharge is authorized and it is determined that the member is unqualified for further military service under the guidance set forth in section A. of Part 2. This provision may not be used when section B. of paragraph 127c of the Manual for Courts-Martial (reference (q)) provides the sole basis for a punitive discharge unless the charges have been referred to a court-martial empowered to adjudge a punitive discharge.

2. **Characterization or Description.** Characterization of service normally shall be Under Other Than Honorable Conditions, but characterization as General (under honorable conditions) may be warranted under the guidelines in section C. of Part 2. For respondents who have completed entry-level status, characterization of service as Honorable is not authorized unless the respondent's record is otherwise so meritorious that any other characterization clearly would be inappropriate. When characterization of service Under Other Than Honorable Conditions is not warranted for a member in entry level status under section C. of Part 2, the separation shall be described as an Entry Level Separation.

3. **Procedures**

a. The request for discharge must be submitted in **writing, and** signed by the **member**.

b. The member shall be afforded opportunity to consult with counsel qualified under Article 27 (b) (1) of the **UCMJ** (reference (d)) . If the member refuses to do so, counsel shall prepare a statement to this effect, which shall be attached to the file, and the member shall state that he or she has waived the right to consult with counsel.

c. Except when the member has waived the right to counsel, the request shall be signed by counsel.

d. In the written request, the member shall state that he or she understands the following:

- (1) The elements of the offense or offenses charged;
- (2) That characterization of service Under Other Than Honorable Conditions is authorized; and
- (3) The adverse nature of such a characterization and possible consequences thereof.

e. The Secretary concerned shall also require that one or both of the following matters be included in the request:

(1) **An acknowledgment of** guilt of one or more of **the** offenses **or** any lesser included offenses for which a punitive discharge is authorized; or

(2) A **summary** of the evidence or list of documents (or copies thereof) provided to the member pertaining to the offenses for which a punitive discharge is authorized.

f. The Separation Authority shall be a **commander** exercising general court-martial jurisdiction or higher authority as specified by the **Secretary** concerned. (As an exception, the Secretary concerned may authorize general court-martial convening authorities to delegate authority to the special court-martial convening authorities to approve requests for discharge in the case of enlisted members who have been absent without leave for more than 30 days, have **been** dropped from the rolls of their units as absent in desertion, have been returned to military control, are assigned to a regional personnel control/separation processing facility, and are charged only with being absent without leave for more than 30 days.)

g. Statements by the member or the member's counsel **submitted** in connection with a request under this subsection are not admissible against the member in a court-martial except as authorized under Military Rule of Evidence 410, Manual for Courts-Martial (reference (q)) .

M. **Security**

1. **Basis.** When retention is clearly inconsistent with the interest of national security, a member may be separated by reason of security and under conditions and procedures established by the Secretary of Defense in DoD 5200.2-R (reference (r)) .

2. **Characterization or Description.** Characterization of service or description of a separation shall be in accordance with section C. of Part 2.

N. **Unsatisfactory Participation in the Ready Reserve**

1. **Basis.** A member **may** be separated for unsatisfactory participation in the Ready **Reserve** under criteria established by the Secretary concerned under DoD Directive 1215.13 (reference (p)) .

2. **Characterization or Description.** Characterization of **service or** description of a separation shall be **in** accordance with section C. of part 2 and DoD Directive 1215.13 (reference (p)) .

3. **Procedures.** The Administrative Board Procedure (section C. of Part 3) shall be used, except that the Notification Procedure (section B. of Part 3) **may** be used if characterization of **service** Under Other Than Honorable Conditions is not warranted under section C. of Part 2.

O. **Secretarial Plenary Authority**

1. **Basis.** **Notwiths tanding any** limitation on separations provided in this Directive, the Secretary concerned may direct the separation of any member prior to expiration of term of service after determining it to be in the best interests of the Service.

2. **Characterization or Description.** Honorable or General (under honorable conditions) as warranted under section C. of Part 2 unless an Entry Level Separation is required under section C. of Part 2.

3. **Procedures.** Prior to involuntary separation, the Notification Procedure (section B. of Part 3) shall be used, except the procedure for requesting an Administrative Board (paragraph B.I.g. of Part 3) is not applicable.

P. **Reasons Established by the Military Departments**

1. **Basis.** The Military Departments may establish additional reasons for separation for circumstances not otherwise provided for in this Directive to meet their specific requirements, subject to approval by the ASD(P&R) .

2. **Counseling and Rehabilitation.** Separation processing may not be initiated until the member has been counseled formally concerning deficiencies and has been afforded an opportunity to overcome those deficiencies as reflected in appropriate counseling or personnel records except when the Military Department concerned provides in its implementing document that counseling and rehabilitation requirements are not applicable for the specific reason for separation.

3. **Characterization or Description.** Characterization of service or description of a separation shall be in accordance with section C. of Part 2.

4. **Procedures.** The procedures established by the Military Departments shall be consistent with the procedures contained in this Directive insofar as practicable.

Q. **Weight Control Failure**

1. **Basis.** A member may be separated for failure to meet the weight control standards established under DoD Directive 1308.1, when it is determined that the member is unqualified for further military **service** and meets both of the following conditions:

a. The member is not medically diagnosed with a medical condition that precludes or interferes with weight control. Members with a medically diagnosed condition that precludes or interferes with weight control may be separated either through medical channels, if appropriate, or under the guidance in paragraph C.4.h. of this enclosure, above.

b. The member fails to meet weight control standards, and the sole reason for separation is failure to meet the weight control standard.

2. **Counseling and Rehabilitation.** Separation processing may not be initiated until the member has been counseled formally concerning deficiencies and has been afforded an opportunity to overcome those deficiencies as reflected in appropriate counseling or personnel records.

3. **Characterization or Description.** Honorable, unless characterization of service as General (under honorable conditions) is warranted under section C. of Part 2 on the basis of numerical scores accumulated in a formal, service-wide rating system that evaluated conduct and performance on a regular basis, or when an entry level separation is required under section C. of Part 2.

4. **Procedures.** The Notification Procedure (section B. of Part 3) shall be used.

PART 2

GUIDELINES ON SEPARATION AND CHARACTERIZATION

A. Separation"

1. Scope

This general guidance applies when referenced in Part 1. Further guidance **is** set forth under the specific reasons for separation in Part 1.

2. Guidance

a. There is a substantial investment in the training of persons enlisted or inducted into the **Military Services**. **As a general** matter, reasonable efforts at rehabilitation should be made prior to initiation of separation proceedings.

b. **Unless** separation is mandatory, the potential for rehabilitation and further useful military service shall be considered by the Separation Authority and, where applicable, the Administrative Board. If separation is warranted despite the potential for rehabilitation, consideration should be given to suspension of the separation, if authorized.

c. Counseling and rehabilitation efforts are a prerequisite to initiation of separation proceedings only insofar as expressly set forth under specific requirements for separation in Part 1. An alleged or established inadequacy in previous rehabilitative efforts does not provide a legal bar to separation.

d. The following factors may be considered on the issue of retention or separation, depending- on **the** circumstances of the case:

(1) The seriousness of the circumstances forming the basis for initiation of separation proceedings, and the effect of the member's continued retention on military discipline, good order, and morale.

(2) The likelihood of continuation or recurrence of **the** circumstances forming the basis for initiation of separation proceedings.

(3) The likelihood that the member will be **a disruptive** or undesirable influence in present or future duty **assignments**.

(4) The ability of the member to **perform** duties effectively in the present and in the future, **including** potential for advancement or leadership.

(5) The member's rehabilitative potential.

(6) The member's entire military record.

(a) This may include:

1 Past contributions to **the Service**, assignments, awards and decorations, evaluation ratings, and letters of commendation;

2 Letters of reprimand or admonition, counseling records, records of nonjudicial **punishment**, records of conviction by court-martial and records of involvement with civilian authorities; and

3 Any other matter deemed relevant by the Board, if any, or the Separation Authority, based upon the specialized training, duties, and experience of persons entrusted by this Directive with recommendations and decisions on the issue of separation or retention.

(b) The following guidance applies to consideration of matter under subparagraph **A.2.d.(6)(a)**:

1 Adverse matter from a prior enlistment or period of military service, such as records of nonjudicial punishment and convictions by courts-martial, may be considered only when such records would have a direct and strong probative value in determining whether separation is appropriate. The use of such records ordinarily shall be limited to those cases involving patterns of conduct manifested over an extended period of time.

2 Isolated incidents and events that are remote in time normally have little probative value in determining whether administrative separation should be effected.

3. Limitations on separation actions. A member may not be separated on the basis of the following:

a. Conduct that has been the subject of judicial proceedings resulting **in** the acquittal or action having the effect thereof except in the following circumstances:

(1) When such action is based upon a judicial determination not going to the guilt or innocence of the respondent; or

(2) When the judicial proceeding was conducted in a State or foreign court and the separation is approved by the Secretary concerned; or

(3) When the acquittal from the judicial proceedings was based on a finding of not guilty only by reason of lack of mental responsibility. Members in this category normally shall be separated under Secretarial plenary authority (Enclosure 3, Part 1, Section O) unless separation for disability (Enclosure 3, Part 1, Section D) is appropriate.

b. Conduct **that has** been the subject of a prior Administrative Board **in** which the Board entered an approved finding that the **evidence** did not sustain the factual allegations concerning the conduct **except** when the conduct is the subject of a rehearing **ordered on the basis of fraud or collusion; or**

c. Conduct that has been the subject of an administrative separation proceeding resulting in a final determination by a Separation Authority that the member should be retained, except in the following circumstances:

(1) When there is subsequent conduct **or perform-**ante forming the basis, in whole or in part, for a new proceeding;

(2) When there is new or newly discovered evidence that was not reasonably available at the time of the prior proceeding; or

(3) When the conduct is the subject of a rehearing ordered on the basis of fraud or collusion.

B. Suspension of Separation

1. Suspension

a. Unless prohibited by this Directive, a separation may be suspended for a specified period of not more than 12 months by the Separation Authority or higher authority if the circumstances of the case indicate a reasonable likelihood of rehabilitation.

b. During the period of suspension, the member shall be afforded an opportunity to meet appropriate standards of conduct and duty performance.

c. Unless sooner vacated or remitted, execution of the approved separation shall be remitted upon completion **of** the probationary period, upon termination of the member's enlistment or period of obligated **service**, or upon decision of the Separation Authority that the goal of rehabilitation has been achieved.

2. Action during the period of suspension

a. During the period of suspension, if there are further grounds for separation under Part 1, one or more of the following actions may be taken:

(1) Disciplinary action;

(2) New administrative action; or

(3) Vacation of the suspension accompanied by execution of the separation if the member engages in conduct - similar to that for which separation was approved (but suspended) or otherwise fails to meet appropriate standards of conduct and duty performance.

b. Prior to vacation of a suspension, the member shall be notified in writing of the basis for the action and shall be afforded the opportunity to consult with counsel (as provided in paragraph B.1.f. of Part 3) and to submit a statement in writing to the Separation Authority. The respondent shall be provided a reasonable period of time, but not less than 2 working days, to act on the notice. If the respondent identifies specific legal issues for consideration by the Separation Authority, the matter shall be reviewed by a judge advocate or civilian lawyer employed by the government prior to final action by the Separation Authority.

C. Characterization of Service or Description of Separation

1. Types of characterization or description

a. At separation, the following types of characterization *of service* or description of separation are authorized under this Directive:

(1) Separation with characterization *of service* as Honorable, General (under honorable conditions), or Under Other Than Honorable Conditions.

(2) Entry Level Separation.

(3) Order of release from the custody and control of the Military Services by reason of void enlistment or induction.

(4) Separation by being dropped from the rolls of the Service.

b. Any of the types of separation listed in this section may be used in appropriate circumstances *unless* a limitation is set forth in this section *or* in Part 1 (Reasons for Separation).

2. Characterization of service

a. General considerations

(1) Characterization at separation shall be based upon the quality *of* the member's service, including the reason for separation and guidance in paragraph C.2.b., below, subject to the limitations set forth under various reasons for separation in Part 1. The quality *of* service

will be determined in accordance with standards of acceptable personal conduct and performance of duty for military personnel. These standards are found in the UCMJ (reference (d)), directives and regulations issued by the Department of Defense and the Military Departments, and the time-honored customs and traditions of military service.

(2) The quality of service of a member on active duty or active duty for training is affected adversely by conduct that is of a nature to bring discredit on the Military Services or is prejudicial to good order and discipline, regardless of whether, the conduct is subject to UCMJ jurisdiction. Characterization may be based on conduct in the civilian community, and the burden is on the respondent to demonstrate that such conduct did not adversely affect the respondent's service.

(3) The reasons for separation; including the specific circumstances that form the basis for the separation, shall be considered on the issue of characterization. As a general matter, characterization will be based upon a pattern of behavior rather than an isolated incident. There are circumstances, however, in which the conduct or performance of duty reflected by a single incident provides the basis for characterization.

(4) Due consideration shall be given to the member's age, length of service, grade, aptitude, physical and mental condition, and the standards of acceptable conduct and performance of duty.

b. Types of characterization

(1) Honorable. The Honorable characterization is appropriate when the quality of the member's service generally has met the standards of acceptable conduct and performance of duty for military personnel, or is otherwise so meritorious that any other characterization would be clearly inappropriate. In the case of an Honorable Discharge, an Honorable Discharge Certificate (DD Form 256) will be awarded and a notation will be made on the appropriate copies of the DD Form 214/5 in accordance with DoD Directive 1336.1 (reference (s)).

{2) General (under honorable conditions). If a member's service has been honest and faithful, it is appropriate to characterize that service under honorable conditions. Characterization of service as General (under honorable conditions) is warranted when significant negative aspects of the member's

conduct or performance of duty outweigh positive aspects of the member's military record.

(3) Under Other Than Honorable Conditions.

(a) This characterization may be issued in the following circumstances:

1 When the reason for separation is based upon a pattern of behavior that constitutes a significant departure from the conduct expected of members of the Military Services.

2 When the reason for separation is based upon one or more acts or omissions that constitute a significant departure from the conduct expected of members of the Military Services. Examples of factors that may be considered include the use of force or violence to produce serious bodily injury or death, abuse of a special position of trust, disregard by a superior of customary superior-subordinate relationships, acts or omissions that endanger the security of the United States or the health and welfare of other members of the Military Services, and deliberate acts or omissions that seriously endanger the health and safety of other persons.

(b) This characterization is authorized only if the member has been afforded the opportunity to request an Administrative Board, except as provided in section L. of Part 1 (Separation in Lieu of Trial by Courts-Martial).

c. Limitations on characterization. Except as otherwise provided in this paragraph, characterization will be determined solely by the member's military record during the current enlistment or period of service to which the separation pertains, plus any extensions thereof prescribed by law or regulation or effected with the consent of the member.

(1) Prior service activities, including records of conviction by courts-martial, records of absence without leave, or commission of other offenses for which punishment was not imposed shall not be considered on the issue of characterization. To the extent that such matters are considered on the issue of retention or separation (subsection A.2. of this Part), the record of proceedings may reflect express direction that such information shall not be considered on the issue of characterization.

(2) Preservice activities may not be considered on the issue of characterization except as follows: in a proceeding concerning fraudulent entry into military service

(**subsection E.4.** of Part 1), evidence of **preservice** misrepresentations about matters that would have precluded, postponed, or otherwise affected the member's eligibility for enlistment or induction may be considered on the issue of characterization.

(3) **The limitations in subsection A.3.**of this Part, above, as to matters that may be considered on the issue of separation are applicable to matters that may be considered on the issue of characterization.

(4) When the sole **basis for separation** is a serious offense that resulted in a conviction by a court-martial that did not impose a punitive discharge, the member's service may not be characterized Under Other Than Honorable Conditions unless such characterization is approved by the Secretary concerned.

(5) **Conduct in the civilian community of a member of a Reserve** component who is not on active duty or active duty for training may form the basis for characterization Under Other Than Honorable Conditions only **if** such conduct affects directly the performance of military duties. Such conduct may form the basis of characterization as General (under honorable conditions) only if such conduct has an adverse impact on the overall effectiveness of the **service**, including military morale and efficiency.

(6) A member's voluntary submission to a DoD treatment and rehabilitation program and voluntarily disclosed evidence of prior personal drug use by the member as part of a course of treatment in such a program may not be used against the member on the issue of characterization. This limitation does not apply to:

(a) The introduction of evidence for impeachment or rebuttal purposes in any proceeding in which the evidence of drug abuse (or lack thereof) has been introduced first by the member.

(b) **Taking action based on independently derived evidence, including evidence of continued drug abuse after initial entry into the a treatment and rehabilitation program.**

(7) The results of mandatory urinalysis may be used on the issue of characterization except as provided in DoD Directive 1010.1 (reference (t)).

3. Uncharacterized Separation

a. Entry-Level Separation

(1) A separation shall be described as an Entry-Level Separation if separation processing is initiated while a member is in entry-level status, except in the following circumstances:

(a) When characterization Under Other than Honorable Conditions is authorized under the reason for separation (Part 1) and is warranted by the circumstances of the case; or

(b) The Secretary concerned, on a case-by-case basis, determines that characterization of service as Honorable is clearly warranted by the presence of unusual military duty. The characterization is authorized when the **member** is separated under Part 1 by reason of selected changes in service obligation (**section B.**), Convenience of the Government (**section C.**), Disability (**section D.**), Secretarial Plenary Authority (**section O.**), or an approved reason established by the Military Department (**section P.**).

(2) **In time of mobilization or in other appropriate circumstances**, the **ASD(P&R)** may authorize the Secretary concerned to delegate the authority in subparagraph **C.3.a. (1) (b)**, above, (concerning the Honorable Characterization) to a general **court-martial** convening authority with respect to members serving in operational units.

(3) With respect to administrative matters outside this Directive that require a characterization as Honorable or General, an Entry-Level Separation shall be treated as the required characterization. This provision does not apply to administrative matters that expressly require different treatment of an Entry-level Separation except as provided in subparagraph **C.3.a. (4)**, below.

(4) In accordance with 10 U.S.C. 1163 (reference (u)), **an Entry-Level Separation for a member of a Reserve component separated from the Delayed Entry Program is "under honorable conditions."**

b. **Void Enlistments or Inductions**. A member shall not receive a discharge, characterization of **service** at separation, or an Entry-Level Separation of the enlistment or induction is void except when a constructive enlistment arises and such action is required under subparagraph **C.3.b. (3)**, below. If characterization or an Entry-Level Separation is not required, the separation shall be described as an order of release from custody or control of the Military Services.

(1) An *enlistment* is void in the following circumstances:

(a) If it was effected without the voluntary consent of a person who has the capacity to understand the significance of enlisting in the Military Services, including enlistment of a person who is intoxicated or insane at the time of enlistment. 10 U.S.C. §504 (reference (v)); Article 2(b), UCMJ (reference (d)).

(b) If the person is under 17 years of age. 10 U.S.C. §505 (reference (w)).

(c) If the person is a deserter from another Military Service. 10 U.S.C. §504 (reference (v)).

(2) Although an enlistment may be void at its inception, a constructive enlistment shall arise in the case of a person serving with a Military Service who:

(a) Submitted voluntarily to military authority;

(b) Met the mental competency and minimum 10 U.S.C. age qualifications of Sections 504 and 505 of (references (v) and (w)), at the time of voluntary submission to military authority;

(c) Received military pay or allowances; and

(d) Performed military duties.

(3) If an enlistment that is void at its inception is followed by a constructive enlistment within the same term of service, characterization of service or description of separation shall be in accordance with subsection C.2. or paragraph C.3.a. of this Part, as appropriate; however, if the enlistment was void by reason of desertion from another Military Service, the member shall be separated by an order of release from the custody and control of the Service regardless of any subsequent constructive enlistment. The occurrence of such a constructive enlistment does not preclude the Military Departments, in appropriate cases, from either retaining the member or separating the member under section E. of Part 1 on the basis of the circumstances that occasioned the original void enlistment or upon any other basis for separation provided in this Directive.

c. Dropping from the rolls. A member may be dropped from the rolls of the Service when such action is authorized, by the Military Department concerned and a characterization of service or other description of separation is not authorized or warranted.

PART 3

PROCEDURES FOR SEPARATION

A. Scope

1. The supplementary procedures in this Part are applicable only when required under a specific reason for separation (Part 1). These procedures are subject to the requirements set forth in Part 1 with respect to specific reasons for separation.

2. When a member is processed on the basis of multiple reasons for separation, the following guidelines apply to procedural requirements (including procedural limitations on characterization of service or description of separation):

a. The requirements for each reason will be applied to the extent practicable.

b. If a reason for separation set forth in the notice of proposed action requires processing under the Administrative Board Procedure (section C., below), the entire matter shall be processed under section C.

c. If more than one reason for separation is approved, the guidance on characterization that provides the greatest latitude may be applied.

d. When there is any other clear conflict between a specific requirement applicable to one reason and a general requirement applicable to another reason, the specific requirement shall be applied.

e. If a conflict in procedures cannot be resolved on the basis of the foregoing principles, the procedure most favorable to the respondent shall be used.

B. Notification Procedure

1. Notice: If the Notification Procedure is initiated under Part 1, the respondent shall be notified in writing of the matter set forth in this section.

a. The basis of the proposed separation, including the circumstances upon which the action is based and a reference to the applicable provisions of the Military Department's implementing regulation.

b. Whether the proposed separation could result in discharge, release from active duty to a reserve component, transfer from the Selected Reserve to the IRR, release from custody or control of the Military Services, or other form of separation.

c. The least favorable characterization of service or description of separation authorized for the proposed separation.

d. The right to obtain copies of documents that will be forwarded to the Separation Authority supporting the basis of the proposed separation. Classified documents may be summarized.

e. The respondent's right to submit statements.

f. The respondent's right to consult with counsel qualified under Article 27(b)(1) of the UCMJ (reference (d)). Nonlawyer counsel may be appointed when the respondent is deployed aboard a vessel or in similar circumstances of separation from sufficient judge advocate resources as determined under standards and procedures specified by the Secretary concerned. The respondent also may consult with civilian counsel retained at the member's own expense.

g. If the respondent has 6 or more years of total active and reserve military service, the right to request an Administrative Board (section C.).

h. The right to waive paragraphs d., e., f. or g., above, after being afforded a reasonable opportunity to consult with counsel, and that failure to respond shall constitute a waiver of the right.

2. Additional notice requirements

a. If separation processing is initiated on the basis of more than one reason under Part 1, the requirements of paragraph B.1.a. apply to all proposed reasons for separation.

b. If the respondent is in civil confinement, absent without leave, or in a reserve component not on active duty or upon transfer to the IRR, the relevant notification procedures in sections D., E., or F. of this Part apply.

c* Additional notification requirements are set forth in Part 1, sections C. and D., when characterization of service as General (under honorable conditions) is authorized and the member is processed for separation by reason of Convenience of the Government or Disability.

3. **Response.** The respondent shall be provided a reasonable period of time, but not less than 2 working days, to act on the notice. An extension may be granted upon a timely showing of good cause by the respondent. The decision of the respondent on each of the rights set forth in paragraphs B.1.d. through g., above, and applicable provisions referenced in subsection 2. shall be recorded and signed by the respondent and counsel, subject to the following limitations:

a. If notice by mail is authorized under sections D., E., or F. of this Part and the respondent fails to acknowledge receipt or submit a timely reply, that fact shall constitute a waiver of rights and an appropriate notation shall be recorded on a retained copy of the appropriate form.

b. If the respondent declines to respond as to the selection of rights, such declination shall constitute a waiver of rights and an appropriate notation will be made on the form provided for respondent's reply. If the respondent indicates that one or more of the rights will be exercised, but declines to sign the appropriate form, the selection of rights will be noted and an appropriate notation as to the failure to sign will be made.

4. **Separation Authority**

a. The Separation Authority for actions initiated under the Notification Procedure shall be a special court-martial convening authority or higher authority. Also, subject to approval by the ASD(P&R), the Secretary concerned also may authorize a commanding officer in grade O-5 or above, or a commanding officer in the grade of O-4 who is on an approved recommended list for promotion to O-5 and who is assigned to command a unit authorized a commanding officer in the grade of O-5 or above, with a judge advocate or legal advisor available to the command, to act as a separation Authority for a specified reason for separation. If the case was initiated under the Administrative Board Procedure and the member waived the right to a hearing under subsection C.4., below, the Separation Authority shall be an official designated under subsection C.6., below.

b. The action of the Separation Authority shall be recorded..

c. The Separation Authority shall determine whether there is sufficient evidence to verify the allegations set forth in the notification of the basis for separation. If an allegation is not supported by a preponderance of the evidence, it may not be used as a basis for separation.

d. If there is a sufficient factual basis for separation, the Separation Authority shall determine whether separation is warranted under the guidance in sections A. and B. of Part 2. On the basis of that guidance, the Separation Authority shall direct one of the following actions:

(1) Retention;

(2) Separation for a specific reason under Part 1;

(3) Suspended separation in accordance with the guidance in section B. of Part 2.

e. If the Separation Authority directs separation or suspended separation on the basis of more than one reason under Part 1, the Separation Authority shall designate the most appropriate basis as the primary reason for reporting purposes.

f. If separation or a suspended separation is directed, the Separation Authority shall assign a characterization or description in accordance with section C. of Part 2.

g. Except when characterization Under Other Than Honorable Conditions is directed or the member is separated on the basis of homosexual conduct or a void enlistment or induction, the Secretary concerned may authorize the Separation Authority or higher authority to make a recommendation or determination as to whether the respondent should be retained in the Ready Reserve as a mobilization asset to fulfill the respondent's total military obligation. This option applies in cases involving separation from active duty or from the Selected Reserve. Section E. of this Part is applicable if such action is approved.

c. **Administrative Board Procedure**

1. **Notice.** If an Administrative Board is required, the respondent shall be notified in writing of the matters in this section.

a. The basis of the proposed separation, including the circumstances upon which the action is based and reference to the application provisions of the Military Department's implementing regulation.

b. Whether the proposed separation could result in discharge, release from active duty to a Reserve component, transfer from the Selected Reserve to the IRR, release from the custody or control of the Military Services, or other form of separation.

c. The least favorable characterization of service or description of separation authorized for the proposed separation.

d. The respondent's right to consult with counsel as prescribed in paragraph B.1.f. of this Part. However, nonlawyer counsel may not represent a respondent before an Administrative Board unless (1) the respondent expressly declines appointment of counsel qualified under Article 27(b)(1) of the UCMJ (reference (d)) and requests a specific nonlawyer counsel; or (2) the Separation Authority assigns nonlawyer counsel as assistant counsel.

e. The right to obtain copies of documents that will be forwarded to the Separation Authority supporting the basis of the proposed separation. Classified documents may be summarized.

f. The respondent's right to request a hearing before an Administrative Board.

g. The respondent's right to present written statements instead of board proceedings.

h. The respondent's right to representation at the Administrative Board either by military counsel appointed by the Convening Authority or by military counsel of the respondent's own choice (if counsel of choice is determined to be reasonably available under regulations of the Secretary concerned) but not both.

i. The right to representation at the Administrative Board by civilian counsel at the respondent's own expense.

j. The right to waive the rights in paragraphs d. through i., above.

k. That failure to respond after being afforded a reasonable opportunity to consult with counsel constitutes a waiver of the rights in paragraphs d. through i., above.

1. Failure to appear without good cause at a hearing constitutes waiver of the right to be present at the hearing.

2. Additional notice requirements

a. If separation processing is initiated on the basis of more than one reason under Part 1, the requirements of paragraph C.1.a. apply to all proposed reasons for separation.

b. If the respondent is in civil confinement, absent without leave, or in a reserve component not on active duty or upon transfer to the IRR, the relevant notification procedures in sections D., E., or F. of this Part apply.

C. Additional notification requirements are set forth in sections C. and D., Part 1, when characterization of service as General (under honorable conditions) is authorized and the member is processed for separation by reason of Convenience of the Government or Disability.

3* Response. The respondent shall be provided a reasonable period of time, but not less than 2 working days, to act on the notice. An extension may be granted upon a timely showing of good cause by the respondent." The decision of the respondent on each of the rights set forth in paragraphs 1.d. through 1i., above, and applicable provisions referenced in subsection 2., above, shall be recorded and signed by the respondent and counsel, subject to the following limitations:

a. If notice by mail is authorized under sections D., E.,f or F. of this "Part and the despondent fails to acknowledge receipt or submit a timely reply, that fact shall constitute a waiver of rights and an appropriate notation shall be recorded " on a retained copy of the appropriate form.

b. If the respondent declines to respond as to the selection of rights, such declination shall constitute a waiver of rights and an appropriate notation will be made on the form provided for respondent's reply. If the respondent indicates that one or more of the rights will be exercised, but declines to sign the appropriate form, the selection of rights will be noted and an appropriate notation as to the failure to sign will be made.

4. Waiver.

a. If the right to a hearing before an Administrative Board is waived, the case will be processed under subsection B.4. of this Part (Notification Procedure), but the Separation Authority in such cases shall be an official designated under subsection C.6.

b. When authorized by the Secretary concerned, a respondent entitled to an Administrative Board may exercise a conditional waiver after a reasonable opportunity to consult with counsel under paragraph C.1.d. A conditional waiver is a statement initiated by a respondent waiving the right to a board proceeding contingent upon receiving a characterization of service or description of separation higher than the least favorable characterization or description authorized for the basis of separation set forth in the notice to the respondent.

5. Hearing procedure. If a respondent requests a hearing before an Administrative Board, the following procedures are applicable:

a. **Composition**

(1) The Convening Authority shall appoint to the Administrative Board at least three experienced commissioned, warrant, or noncommissioned officers. Enlisted personnel appointed to the Board shall be in grade E-7 or above, and shall be senior to the respondent. At least one member of the Board shall be serving in the grade of O-4 or higher, and a majority shall be commissioned or warrant officers. The senior member shall be the president of the board. The Convening Authority also may appoint to the Board a nonvoting recorder. A nonvoting legal advisor may be appointed to assist the Board if authorized by the Secretary concerned.

(2) **If the respondent is an enlisted** member of a Reserve component or holds an appointment as a Reserve commissioned or warrant officer, the Board shall include at least one Reserve officer as a voting member. Additionally, all Board members will be commissioned officers if an Under Other Than Honorable Characterization (**UOTHC**) from the Reserve component is authorized to be issued. (**See** 10 U.S.C., Section 1163 (reference (u))). Voting members shall be senior to the respondent's reserve grade (**See** 10 U.S.C. Section 266 (reference (x))).

(3) The **Convening Authority shall insure that the opportunity to serve on Administrative Boards is** given to women and minorities. The mere appointment or failure to appoint a member of such a group to the Board, however, does not provide a basis for challenging the proceeding.

(4) The respondent may challenge a voting member of the Board or the legal advisor, if any, for 'cause **only**.

b. **Presiding Officer**. The president shall preside and rule finally on all matters of procedure and evidence, but the rulings of the president may be overruled by a majority of the Board. If appointed, the legal advisor shall rule finally on all matters of evidence and challenges except challenges to him or herself.

c. **Witnesses**

(1) The respondent may request the attendance of witnesses in accordance with the implementing instructions of the Military Department concerned.

(2) In accordance with such instructions, the respondent may submit a written request for temporary duty (**TDY**) or invitational travel orders for witnesses. Such a request shall obtain the following matter:

(a) A **synopsis** of the testimony that the witness is expected to give.

(b) **An** explanation of the relevance of **such** testimony to the issues of separation or characterization.

(c) **An** explanation as to why written or recorded testimony would not be sufficient to provide for a fair determination.

(3) The Convening Authority may authorize **expenditure** of funds for production of witnesses only if the presiding officer (after consultation with a judge advocate) or the legal advisor (if appointed) determines that:

(a) The testimony of a witness is not cumulative;

(b) The personal appearance of the witness is essential to a fair determination on the issues of separation or characterization;

(c) **Written** or recorded testimony will not accomplish adequately the same objective;

(d) The **need for live** testimony **is substantial**, material, and necessary for a proper disposition of the case; and

(e) The significance of the personal appearance of the witness, when balanced against the practical difficulties **in** producing the witness, favors production of the witness. Factors to be considered in relation to the balancing test include, but are not limited to, the cost of producing the witness, the timing of the request for production of the witness, the potential delay in the proceeding that may be caused by producing the witness, or the likelihood of significant interference with military operational deployment, mission accomplishment, or essential training.

(4) If the Convening Authority determines that the personal testimony of a witness is required, the hearing will be postponed or continued if necessary to permit the attendance of the witness.

(5) The hearing shall be continued or postponed to provide the respondent with a reasonable opportunity to obtain a written statement from the witness if a witness requested by the respondent is unavailable in the following circumstances:

(a) When the presiding officer determines that the personal testimony of the witness is not required;

(b) When the commanding officer of a military witness determines that military necessity precluded the witness' attendance at the hearing; **or**

(c) **When** a civilian witness declines to attend the hearing.

(6) Subparagraph **C.5.c.**, above, does not authorize a Federal employee to decline to appear as a witness if directed to do so in accordance with applicable procedures of the employing agency.

d. Record of Proceedings. In cases where the Board recommends separation, the record of the proceedings shall be kept in summarized form unless a verbatim record is required by the Secretary concerned. In cases where the Board recommends retention, a record of the proceedings is optional unless required by the Secretary concerned. However, a summarized or verbatim record shall be prepared in any case where the board recommends retention and the Separation Authority elects to forward the matter to the Secretary concerned under subparagraph **C.6.d. (2)(b)**, below. The Board reporter shall retain all materials necessary to prepare a transcript should the Separation Authority elect to forward the case to the Secretary. In all cases, the findings and recommendations of the Board shall be in verbatim form.

e. Presentation of Evidence. The rules of evidence for courts-martial and other judicial proceedings are not applicable, before an Administrative Board. Reasonable restriction shall be observed, however, concerning relevancy and competency of evidence.

f. Rights of the Respondent

(1) The respondent may testify in his or her own behalf, subject to the provisions of Article 31(a), **UMCJ** (reference (d)).

(2) At any time during the proceedings, the respondent or counsel may submit written or recorded matter for consideration by the Board.

(3) The respondent or counsel **may call** witnesses in his or her behalf.

(4) The respondent or counsel may question **any** witness who appears before the Board.

(5) The respondent or counsel may present argument prior to when the Board closes the case for deliberation on findings and recommendations.

g. Findings and Recommendations

(1) The Board shall determine its findings and recommendations **in** closed sessions. Only voting members of **the** board shall be present.

(2) The Board shall determine whether each allegation in the notice of proposed separation is supported by a preponderance of the evidence.

(3) The Board shall then determine under the guidance in section A. of Part 2 whether the findings warrant separation with respect to the reason for separation set forth in the Notice. If more than one reason was contained in the Notice, there shall be a separate determination for each reason.

(4) The Board shall make recommendations on the following:

(a) Retention or Separation. The Board shall recommend retention or separation.

(b) Suspension of Separation. If the Board recommends separation, it may recommend that the separation be suspended in **accordance** with section B. of Part 2, but the recommendation of the Board as to suspension is not binding on the Separation Authority.

(c) Characterization of Service or Description of Separation. If separation or suspended separation is **recommended**, the Board shall recommend a characterization of service or description of separation as authorized in Part 1 (Reasons for Separation) in accordance with the guidance in section C. of Part 2.

(d) Transfer to the Ready Reserve. **Except** when the Board has recommended separation on the basis of homosexual conduct or has recommended characterization of service Under Other Than Honorable Conditions, the Secretary concerned may authorize the Board to make a recommendation as to whether the respondent should be retained in the Ready Reserve as a mobilization asset to fulfill the respondent's total military obligation. This option applies to cases involving separation from active duty or from the Selected Reserve. Section E. of this Part is applicable if the action is approved.

6. Separation Authority

a. The Separation Authority for actions initiated under the Administrative Board Procedure shall be a general **court-martial** convening authority or higher authority. The Secretary **concerned** also may authorize a commanding officer in grade O-7 or above with a judge advocate or legal advisor available to his command to act as a separation authority in specified circumstances. When an Administrative Board recommends characterization of service as Honorable or General

(under honorable conditions), the Separation Authority may be exercised by an officer designated under subsection B. 4. When the case has been initiated under the Notification Procedure and the hearing is a result of a request under paragraph B. 1. g., the Separation Authority shall be as designated in subsection B. 4.

b. In every case in which characterization of service Under Other Than Honorable Conditions is recommended, the record of the Board's proceedings will be reviewed by a judge advocate or civilian attorney employed by the Military Department prior to action by the Separation Authority. Such review is not required when another characterization is recommended unless the respondent identifies specific legal issues for consideration by the Separation Authority.

c. The respondent will be provided with a copy of the Board's statement of facts and recommendations.

d. The Separation Authority shall take action in accordance with this subparagraph, the requirements of Part 1 with respect to the reason for separation, and the guidance in Part 2 on separation and characterization.

(1) . If the Separation Authority approves the recommendations of the Board on the issue of separation or characterization (or both) this constitutes approval of the Board's findings and recommendations under paragraph C. 5. g. unless the Separation Authority expressly modifies such findings or recommendations.

(2) If the Board recommends retention, the Separation Authority may take one of the following actions:

(a) Approve the recommendation.

(b) Forward the matter to the Secretary concerned with a recommendation for separation based upon the circumstances of the case. In such a case, the Secretary may direct retention or separation. If the Secretary approves separation, the characterization of service or description of separation will be honorable, General (under honorable conditions) or an Entry Level 1 Separation under the guidance in section C. of Part 2.

(3) If the Board recommends separation, the Separation Authority may:

(a) Approve the Board's recommendation;

(b). Approve the Board's recommendations, but modify the recommendations by one or more of the following actions when appropriate:

1 Approve the **separation** but **suspend execution as provided in section B. of Part 2.**

2 Change the **character of service** or description of **separation to a more favorable characterization** or description.

3 Change the Board's recommendation, if any, concerning **transfer to the IRR.**

(c) Disapprove the Board's recommendation and retain the respondent.

(4) If the Separation Authority approves the Board's findings and recommendations in whole or in part with respect to more than one reason under Part 1, the Separation Authority shall designate the most appropriate basis as the primary reason for reporting purposes.

(S) If the Separation Authority finds legal pre judice to a substantial right of the respondent or determines that the findings of the Board have been obtained by fraud or collusion, the case may be referred to a new board. No member of the new board shall have served on a prior board that considered the case. The Separation Authority may not approve findings and recommendations less favorable to the respondent than those rendered by the previous board unless the Separation Authority finds that fraud or collusion in the previous board is attributable to the respondent or an individual acting on the respondent's behalf.

D. Additional Provisions Concerning Members Confined by Civil Authorities

1. If proceedings under this Part have been initiated against a respondent confined by civil authorities, the case may be processed in the absence of the respondent. Paragraph C. 5 .e. of this Part is not applicable except insofar as such rights can be exercised by counsel on behalf of the respondent.

2. The following requirements apply:

a \ The notice shall contain the matter set forth in subsection B.1. of this Part or subsection C. 1. (Notice in the Administrative Board Procedural, as appropriate. The notice shall be delivered personally to the respondent or sent by registered mail or certified mail, return receipt requested (or by an equivalent form of notice if such service is not available for delivery by U.S. mail at an address outside the United States. If the member refuses to acknowledge receipt of notice, the individual who mails the notification

shall prepare a Sworn Affidavit of Service by Mail (see DoD Directive 1215.13, (reference (r)) which will be inserted in the member's personnel file together with PS Form 3800.

b. If delivered personally, receipt shall be acknowledged in writing by the respondent. If the respondent does not acknowledge receipt, the notice shall be sent by mail as provided in paragraph 2a., above.

c. The notice shall state that the action has been suspended until a specific date (not less than 30 days from the date of delivery) in order to give the respondent the opportunity to exercise the rights set forth in the notice. If respondent does not reply by such date, the separation authority shall take appropriate action under subsection B. 4. of this Part.

d. The name and address of the military counsel for appointed consultation shall be specified in the notice.

e. If the case involves entitlement to an Administrative Board, the respondent shall be notified that the board will proceed in the respondent's absence and that the case may be presented on respondent's behalf by counsel for the respondent.

E. Additional Requirements for Certain Members of Reserve Components

1. Members of reserve components not on active duty

a. If proceedings under this Chapter have been initiated against a member of a reserve component not on active duty, the case may be processed in the absence of the member in the following circumstances:

(1) At the request of the member;

(2) If the member does not respond to the notice of proceedings on or before the suspense date provided therein; or

(3) If the member fails to appear at a hearing as provided in paragraph C. 1.1. .

b. The notice shall contain the matter set forth in subsections B. 1. or C. 1. of this Part, as appropriate.

c. If the action involves a transfer to the IRR under circumstances in which the procedures in this enclosure are applicable, the member will be notified that the character of service upon transfer to the IRR also will constitute the

character of **service** upon **discharge** at the completion of the military **service** obligation unless specified **conditions** established by the Secretary concerned are met.

2. Transfer to the IRR. Upon transfer to the IRR, the member will be notified of the following:

a. The character of service upon transfer from active duty or the Selected Reserve to the IRR, and that the character of service upon completion of the military **service** obligation will be the same unless specified conditions established by the Secretary concerned are met.

b. The date upon which the military **service** obligation will expire.

c. The date by which the member must submit evidence of satisfactory completion of the specified conditions.

3. If the member submits evidence of completion of the specified conditions but the Military Department proposes to issue a discharge other than an Honorable Discharge, the Notification Procedure shall be used. An Administrative Board is not required at this point notwithstanding the member's years of **service**.

4. If the member does not submit such information on or before the date specified in the notice, no further proceedings are required. The character of discharge at the completion of the military service obligation shall be the same as the character of service upon transfer *from the Selected Reserve to the IRR.*

5. The following requirements apply to the notices required by subsections E. 1. and 2. of this Part.

a. Reasonable effort should be made to furnish copies of the notice to the member through personal contact by a representative of the command. In such a case, a written acknowledgment *of the* notice shall be obtained.

b. If the member cannot be contacted or refuses to acknowledge receipt of the notice, the notice shall be sent by registered or certified mail, return receipt requested (or by an equivalent form of notice if such service by U.S. Mail is not available for delivery at an address outside the United States) to the most recent address furnished by the member as an address for receipt or forwarding of official mail. The individual who mails the notification shall prepare a Sworn Affidavit of Service by Mail (see DoD Directive 1215.13 (reference (r)), which will be inserted in the member's personnel file *together with* PS Form 3800.

F. Additional Requirements for Members Beyond Military Control by Reason of Unauthorized Absence

1. Determination of applicability. If the general court-martial convening authority or higher authority determines that separation is otherwise appropriate under this Directive, a member may be separated without return to military control in one or more of the following circumstances:

a. Absence without authority after receiving notice, of initiation of separation processing.

b. When prosecution of a member who is absent without authority appears to be barred by the statute of limitations, Article 43, UCMJ (reference (d)).

c. When a member who is an alien is absent without leave and appears to have gone to a foreign country where the United States has no authority to apprehend the member under a treaty or other agreement.

2. Notice. Prior to execution of the separation under paragraphs 1b. or 1. c., the member will be notified of the imminent action by registered mail or certified mail, return receipt requested (or by an equivalent form of Notice if such service by U.S. Mail is not available for delivery at an address outside the United States) to the member's last known address or to the next of kin under regulations prescribed by the Military Department concerned. The notice shall contain the matter set forth in subsections B. 1. or C1., as appropriate, and shall specify that the action has been suspended until a specific date (not less than 30 days from the date of mailing) in order to give the respondent the opportunity to return to military control. If the respondent does not return to military control by such date, the separation authority shall take appropriate action under subsection B. 4. of this Part.

3. Members of reserve components. See 10 U.S. C. §1163 (reference (x)) with respect to limitations on separation of members of reserve components.

GUIDELINES FOR FACT-FINDING INQUIRIES INTO HOMOSEXUAL CONDUCT

A. RESPONSIBILITY

1. Only the member's commander is authorized to initiate fact-finding inquiries involving homosexual conduct. A commander may initiate a **fact-finding** inquiry only when he or she has received credible information that there is basis for discharge. Commanders are responsible for ensuring that inquiries are conducted properly and that no abuse of authority occurs.

2. A fact-finding inquiry may be conducted by the commander personally or by a person he or she appoints. It may consist of an examination of the information reported or a more extensive investigation, as necessary.

3. The inquiry should gather all credible information that directly relates to the grounds for possible separation. Inquiries shall be limited to the factual circumstances directly relevant to the specific allegations.

4. If a commander has credible evidence of possible criminal conduct, he or she shall follow the procedures outlined in the Manual for Courts-Martial and implementing regulations issued by the Secretaries of the Military Departments concerned (reference (y)) .

B. DEFINITIONS

1. Bisexual. A person who engages in, attempts to engage in, has a propensity to engage in, or intends to engage in homosexual and heterosexual acts.

2. Commander. A commissioned or warrant officer who, by virtue of rank and assignment, exercises **primary** command authority over a military organization or prescribed territorial area that under pertinent official directives is recognized as a " **command.** "

3. Credible Information. Credible information exists when the information, considering its source and the surrounding circumstances, supports a reasonable belief that a Service member has engaged in homosexual conduct. It requires a determination based on **articulable** facts, not just a belief or suspicion.

4. Homosexual. A person, regardless of sex, who engages in, attempts to engage in, has a propensity to engage in, or intends to engage in homosexual acts.

5. Homosexual Conduct. "Homosexual conduct" is a homosexual act, a statement by the member that demonstrates a propensity or intent to engage in homosexual acts, or a homosexual marriage or attempted marriage.

a. A "homosexual act" means any bodily contact, actively undertaken or passively permitted, between members of the same sex for the purpose of satisfying sexual desires and any bodily contact (for example, hand-holding or kissing, in most circumstances) that a reasonable person would understand to demonstrate a propensity or intent to engage in such an act.

b. A "statement that a member is a homosexual or bisexual, or words to that effect, " means (1) language or behavior that (2) a reasonable person would believe (3) intends to convey the statement (4) that a person engages in or has a propensity or intent to engage in homosexual acts. This includes statements such as "I am a homosexual, " "I am gay," "I am a lesbian, " "I have a homosexual orientation, " and the like.

c. A "homosexual marriage or attempted marriage" is **when** a member has **married** or attempted to marry a person known to be of the same biological sex.

d. "Propensity to engage in homosexual acts" means more than an abstract preference or desire to engage in homosexual acts; it indicates a likelihood that a person engages in **or** will engage in homosexual acts.

6. **Sexual Orientation.** A sexual attraction to individuals of a particular sex.

C. BASIS FOR CONDUCTING INQUIRIES

A commander will initiate an inquiry only if he or she has credible information that a basis for discharge exists. A basis for discharge exists if:

1. The member has engaged in a homosexual act.
2. The member has said that he or she is a homosexual or bisexual, or made some other statement that indicates a propensity or intent to engage in homosexual acts; or
3. The member has married or attempted to **marry** a person of the same sex.

D. PROCEDURES

1. Informal fact-finding inquiries and administrative separation procedures are the preferred method of addressing homosexual conduct. This does not prevent disciplinary action or trial by courts-martial when appropriate.

2. Commanders shall exercise sound discretion regarding when credible information exists. They shall examine the information and decide whether an inquiry is warranted or whether no action should be taken.

3. Commanders or appointed inquiry officials shall **not** ask, and members shall not be required to reveal, their sexual orientation. However, upon receipt of credible information of homosexual conduct, commanders or appointed inquiry officials may ask members if they engaged in homosexual conduct. But the member should first be advised of the DoD **policy** on homosexual conduct. Should the member choose not to discuss the matter further, the commander should consider other available information.

4. At any given point of the inquiry, the **commander** or appointed inquiry official must be able clearly and specifically **to** explain which grounds for separation he or she is attempting to verify and how the information being collected relates to those specific separation grounds.

5. A statement by a Service member that he or she is a homosexual or bisexual creates a rebuttable presumption that the **Service** member engages in homosexual acts or has a propensity or intent to do so. The **Service** member shall be given the opportunity to present evidence that he or she does not engage in homosexual acts and does not have a propensity or intent to do so.

6. The Service member bears the burden of proving, by a preponderance of the evidence, that he or she is not a person who engages in, has a propensity to engage in, or intends to engage in homosexual acts.

E. CREDIBLE INFORMATION DOES NOT EXIST, FOR EXAMPLE, WHEN:

1. The individual is suspected of engaging in homosexual conduct, but there is no credible information, as defined, to support that suspicion; or

2. The only information is the opinions of others that a member is homosexual; or

3. The inquiry would be based on rumor, suspicion, or capricious claims concerning a member's sexual orientation; or

4. The only **information** known is an associational activity such as going to a gay bar, possessing or reading homosexual publications, associating with known homosexuals, or marching in a gay rights rally in civilian clothes. Such activity, in and of itself, does not provide evidence of homosexual conduct.

F. **CREDIBLE INFORMATION EXISTS, FOR EXAMPLE, WHEN:**

1. A reliable person states that he or she observed or heard a Service member engaging in homosexual acts, or saying that he or she is a homosexual or bisexual or is married to a member of the same sex; or

2. A reliable person states that he or she heard, observed, or discovered a member make a spoken or written **statement that a reasonable person would believe was intended to convey the fact that he or she engages in** or has a propensity or intent to engage in homosexual acts; or

3. A reliable person states that he or she **observed** behavior that amounts to a non-verbal statement **by** a member that he or she is a homosexual or bisexual; i.e., behavior that a reasonable person would believe intended to convey the statement that the member engages in or has a propensity or intent to engage in homosexual acts.

G. **LEGAL EFFECT**

The procedures set forth in this enclosure create no substantive or procedural rights.